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8-7-02

JC903 U.S. PTO  
10/045395  
11/07/01

PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of**  
LORENZ J. BAUER

**Docket:** 105223

**Title:** MIDDLE DISTILLATE SELECTIVE HYDROCRACKING PROCESS

SUBMISSION OF INFORMATION IN ACCORDANCE WITH MPEP 609

Honorable Assistant  
Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Applicant(s) submit herewith documents which they believe may be material to the examination of this application and with respect to which they believe there may be a duty to disclose the existence and contents thereof. These documents are cited in the above identified application and are listed on Form PTO-1449 attached hereto. The relevance of each document is explained either within the specification of the above identified application or hereinbelow.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission in any manner.

Copies of all listed documents are:

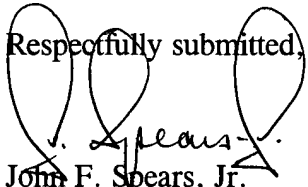
- ☒ attached hereto.
- ☐ attached hereto unless provided during the prosecution of the parent application,  
U.S. Serial No. \_\_\_\_\_

Related Applications:

- ☐ In addition to the documents cited in the specification, applicant wishes to point out copending application U.S. Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
- ☐ This copending application relates to \_\_\_\_\_
- ☐ The inventive entity is the same.

The owner of the above applications is the same.

Initialing of each document after its consideration by the Examiner is now respectfully requested in this matter.

Respectfully submitted,  
  
John F. Spears, Jr.  
Attorney for Applicants  
Reg. No. 27,392

Date: November 7, 2001

JFS:sb

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 740

## Complete if Known

Application Number  
 Filing Date  
 First Named Inventor Lorenz J. Bauer  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No. 105223

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
 Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
 Independent Claims  -3\*\* =  X  =   
 Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) 148 Statutory Disclaimer (\$110)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Name (Print/Type)	John F. Spears, Jr.	Registration No. (Attorney/Agent)	27,392	Telephone	(847) 391-2037
Signature	<i>John F. Spears, Jr.</i>	Date	Nov. 7, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.